Electronic Decoupling in C₃-Symmetrical Light-Responsive Tris(Azobenzene) Scaffolds: Self-Assembly and Multiphotochromism

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ABSTRACT: We report the synthesis of a novel C₃-symmetrical multiphotochromic molecule bearing three azobenzene units at positions 1, 3, 5 of the central phenyl ring. The unique geometrical design of such a rigid scaffold enables the electronic decoupling of the azobenzene moieties to guarantee their simultaneous isomerization. Photoswitching of all azobenzenes in solution was demonstrated by means of UV–Vis absorption spectroscopy and high performance liquid chromatography (HPLC) analysis. Scanning tunneling microscopy investigations at the solid–liquid interface, corroborated by molecular modeling, made it possible to unravel the dynamic self-assembly of such systems into ordered supramolecular architectures, by visualizing and identifying the patterns resulting from three different isomers, thereby demonstrating that the multiphotochromism is retained when the molecules are confined in two dimensions.

INTRODUCTION

Stimuli-responsive molecular systems have attracted a great interest because their physicochemical properties can be tuned when triggered by external chemical, electrochemical, or optical inputs. Among them, light probably represents the most appealing way to enable complex function as a result of a stimulus because of its spatiotemporal resolution. Recently, with the aim to increase the functional complexity of molecular-scale events that can be attained with synthetic molecular systems, an increasing effort has been devoted to the integration of more than one photochromic unit into a single molecule to interconvert systems between multiple states in contrast to a single photochromic molecule, which can be seen as a conventional binary switch.

Among the various photochromic compounds, azobenzenes are of particular interest because of the large geometrical rearrangement occurring in the molecular backbone upon its E–Z isomerization, together with the dipole moment variation between the two isomers. Such a difference between the two forms can be used to execute mechanical work, and by extension to realize light-activated molecular actuators. With the implementation of such photoswitchable units into increasingly complex (supra)molecular systems, it was proven possible to optically gate various phenomena such as reversible supramolecular self-assembly, assembly of colloidal particles, and current tunneling within molecular junctions, which led to the realization of optically switchable electrical devices. Interestingly, by embedding azobenzene within crystalline or liquid crystalline matrices, it is possible to amplify its molecular-scale isomerization into macroscopically observable effects such as photodeformation, light-controlled phase transition, and/or guest release. While interrupting the electronic coupling in polyaromatic systems was seen to be essential in order to retain the photoresponsive properties in multiazobenzene compounds, the use of an exemplary molecular design to cast light onto this aspect for a system containing up to three azobenzene units has never been reported.

In the past two decades, scanning tunneling microscopy (STM) has been widely employed as a powerful tool to study structure and dynamics of molecules at surfaces with a sub-nm resolution. In particular, STM imaging at the solid–liquid interface offers direct and detailed insight into the phenomenon of molecular self-assembly. Such a technique is increasingly employed for the study of host–guest, or stimuli responsive systems. Investigation on the isomerization of azobenzene with STM has always been an appealing task for surface scientists, albeit the visualization of the Z isomer at the solid–liquid interface was found to be particularly challenging because of its nonplanar, kinked geometry, which yields unfavorable van der Waals interactions with the underlying substrate surface. Therefore, the use of several artifacts such as the decoration of the azobenzene with ad-hoc...
functional groups, or molecular geometries enabling the self-assembly of both \( E \) and \( Z \) isomers on graphite, have demonstrated to be effective, although sometimes making their visualization a cumbersome task.\(^{18,19}\) The STM mapping at the solid–liquid interface of various isomers of a photochromic system containing multiple azobenzene units in the same molecular backbone was achieved by embedding the switches in a host–guest network.\(^{20}\) Such a finding suggests that the isomerization of multiphotocromic systems could be also monitored in monocomponent ultrathin films by attaining an exquisite control over the interplay between intermolecular and interfacial interactions via an ad-hoc molecular design. In this regard, a rigid scaffold possessing a \( C_3 \) symmetry around a central benzene core appears ideal to address the key question of electronic decoupling on the isomerization of multiazobenzene systems and seems particularly suitable for the potential integration of such switches as building blocks for the generation of light-responsive 2D and 3D metal–organic materials and covalent–organic frameworks.\(^{21}\)

Here, we report on the design and synthesis of a novel \( C_3 \)-symmetrical multiphotocromic molecule 1 containing three azobenzene units branching out in the 1, 3, 5 positions of a central benzene ring (Figure 1, Scheme 1). Optical characterizations by absorption spectroscopy combined with HPLC analysis have been performed in solution in order to explore the response of 1 to light at different wavelengths. STM investigation of the molecular self-assembly at the solid–liquid interface offered an in-depth insight into the responsive nature of the supramolecular assemblies of molecule 1 when in situ irradiated with ultraviolet and visible light. The subtle interpretation of submolecularly resolved patterns was achieved with the aid of molecular mechanics/dynamics (MM/MD) simulations. In order to demonstrate that the dynamic self-assembly upon light irradiation at different wavelengths is due to the isomerization of the three azobenzene moieties, we have extended our study to an analogue molecule 2 in which the diazene-1,2-diyl groups have been substituted with ethyn-1,2-diyl units, thus suppressing the photocromic nature of the system.

By design molecule 1 combines four structural features: (i) a noticeable conformational rigidity determined by the use of aromatic units; (ii) a central 1,3,5-trisubstituted benzene ring, which dictates the peculiar geometry of this molecule; (iii) the three azobenzene moieties which can respond to light stimuli; (iv) the carboxylic acid-terminated azobenzene arms to enable the use of intermolecular H-bonding for controlling the self-assembly. The symmetry and peripheral functional groups of choice are motivated by the thorough investigation conducted in the past over the self-assembly of rigid aromatic carboxylic acids,\(^{22}\) especially \( C_3 \)-symmetric rigid tricarboxylic acids on HOPG (using heptanoic, octanoic or nonanoic acid as solvents) with increasingly large aromatic cores.\(^{22a,e,14b,23}\)

Significantly, to cast light onto the role of the electronic coupling in polyaromatic systems containing multiple azobenzenes, we have devised a prototypical molecular design characterized by the reciprocal connection of the azobenzene moieties in the \( meta \)-position on the central phenyl ring to yield a partial interruption of the electronic communication between the photoactive units, yet keeping the system sufficiently rigid for allowing its self-assembly in 2D.

### RESULTS AND DISCUSSION

To verify the occurrence of an efficient photochemical isomerization of 1, UV–vis absorption spectroscopy and HPLC analysis were used (for synthesis and characterization see SI). The absorption spectrum of \( (E,E,E) \)-1 in DMSO shows the typical two bands of an azobenzene chromophore: \( \pi-\pi^* \) and \( n-\pi^* \) located respectively at ca. 370 and 455 nm (Figure 2).

Upon UV irradiation at 365 nm of 1 in DMSO solution, a decrease in the strong \( \pi-\pi^* \) transition was observed, together with an increase in absorption of the \( n-\pi^* \) band. These features are typical for the \( E \rightarrow Z \) isomerization of azobenzene. Interestingly, the data exhibit sharp isosbestic points at ca. 312 and 430 nm, which typically indicate electronic decoupling between azobenzene chromophores.\(^{13b}\) Upon subsequent irradiation with visible light at ca. 450 nm, a progressive recovery of the original spectral features was observed, while the full recovery to the initial scenario was achieved by storing the solution at room temperature in the dark over 4 days (or

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**Figure 1.** Chemical structure of tris(azobenzene) compound 1 and its nonphotoactive analogue 2.

**Scheme 1.** Isomerization of tris(azobenzene) 1
alternatively for ca. 12 h at 50 °C). The latter evidence confirms the full reversibility of the isomerization of 1, i.e., a behavior that is typical for azobenzene-based compounds. In order to clearly demonstrate the photochemical robustness of compound 1, we have performed a test over 10 photoswitching cycles, which showed negligible degradation of its photoreactivity, a mixture of the four possible isomers of 1 in their all-(E)-state, we applied to the surface a drop of a nonirradiated solution of 1, in order to benefit from the thermodynamic stability of (E)-azobenzene isomer. The STM images recorded in situ display a tightly packed 2D crystalline lamellar structure consisting of (E,E,E)-1 arranged in a zigzag fashion (Figure 4, Figure 5a).

The structure observed displays a unit cell: a = 4.1 ± 0.2 nm, b = 3.0 ± 0.3 nm, c = 41 ± 5° with an area A = 8.7 ± 0.3 nm², each containing two molecules. A careful image analysis revealed the absence of polymorphs of such a crystalline packing, also upon varying the concentration of 1 solution used for the experiments: 10 μM was found to be the optimal value for attaining higher spatial resolution (for details see SI). The total absence of the ideal “honeycomb network” H-bonded pattern which should arise from the formation of the intermolecular 2-fold cyclic O⋯H⋯O bonding between carboxylic groups is not surprising. This consideration comes from the large dimension of the rigid aromatic core of 1, in line with the reported tendency of large C₃-symmetric tricarboxylic acids to form more densely packed structures. The reason for this evidence was explained by the higher adsorption energy contribution obtained by forming a more densely packed crystal with a nonideal H-bonding pattern compared to the corresponding “ideal” honeycomb structure expected from the generation of the 2-fold H-bonding dimers, leading to a looser crystalline structure. In other words, the most prominent term driving the assembly is not associated with intermolecular interactions, but rather to the molecule–substrate adsorption energy per unit area, thus yielding the “tightest” assembly and not the “ideal” H-binding motif. In order to confirm the assignment of the molecular packing given by experimental data, we simulated the assembly of (E,E,E)-1 by molecular dynamics (MD, see SI for details), which yielded an average unit cell: a = 4.3 nm, b = 2.8 nm, c = 41°, with two molecules per unit cell (Figure 5b). The result obtained by MD simulations matches very well the experimental values obtained by STM, therefore confirming the validity of our model. The azobenzene units in molecule 1 present a kink in the CNNC bond, it thus follows that upon the adsorption of 1 on the HOPG surface the resulting structures could show two isomers of the compound, together with the possibility of the emergence of their chirality. Nevertheless, from the images
obtained, we could not attain the level of detail needed to neither assign unambiguously which specific isomers the crystalline domains belonged to, nor to comment about the chirality of the 2D structures.

As a blank experiment, the same study was also performed on the nonphotochromic compound 2, displaying a similar geometry to \((E,E,E)\)-1, but having tolane moieties instead of azobenzenes in each of the three “arms”. The experiment was performed after confirming the absence of photoreactivity of 2 by UV−vis absorption spectroscopy (Figure S15). Compound 2 was found to self-assemble in a crystalline structure with the same symmetry displayed by \((E,E,E)\)-1 (Figure S17). Such structure is characterized by the following unit cell: \(a = 4.2 \pm 0.2 \text{ nm}, b = 2.9 \pm 0.1 \text{ nm}, \alpha = 46 \pm 1^\circ\) with an area \(A = 8.8 \pm 0.4 \text{ nm}^2\), each containing two molecules. The parameters are substantially unvaried when compared with \((E,E,E)\)-1 within

Figure 4. STM images of 1 recorded at the interface between an HOPG substrate and a 10 \(\mu\text{M}\) solution of 1 in 1-heptanoic acid. Left, no light irradiation (average tunneling current \((I_T) = 30 \text{ pA}, \text{tip bias voltage } (V_T) = +800 \text{ mV})\). Center, in situ UV \((\lambda_{\text{max}} = 365 \text{ nm})\) light irradiation \((I_T = 30 \text{ pA}, V_T = +800 \text{ mV})\). Right, subsequent in situ visible \((\lambda_{\text{max}} = 451 \text{ nm})\) light irradiation \((I_T = 20 \text{ pA}, V_T = +800 \text{ mV})\).

Figure 5. High resolution STM images of ordered domains of (a) \((E,E,E)\)-1, (c) \((E,Z,Z)\)-1, and (e) \((E,E,Z)\)-1 self-assembled at the HOPG-solution interface using 1-heptanoic acid as solvent. Supramolecular packing models obtained by MM/MD simulations for (b) \((E,E,E)\)-1, (d) \((E,Z,Z)\)-1, and (f) \((E,E,Z)\)-1. The yellow rectangles indicate the formation of hydrogen bonded carboxylic acid dimers between \((E)\)-azobenzene arms. Orange rectangles indicate hydrogen bonds between carboxylic moieties positioned on \((Z)\)-azobenzene arms. Tunneling parameters: (a) average tunneling current \((I_T) = 40 \text{ pA}, V_T = +800 \text{ mV})\), (c) \(I_T = 30 \text{ pA}, V_T = +800 \text{ mV})\), (e) \(I_T = 20 \text{ pA}, V_T = +800 \text{ mV})\).
experimental error. A blank test performed by irradiating 2 solutions in situ with both UV and visible light did not lead to any perceivable variation in the supramolecular packing (Figure S18).

Interestingly, the in situ photoirradiation of a solution of \((E,E,E)\)-1 with ultraviolet light is generally accompanied by a loss of ordered crystalline packing, indicating a decrease in concentration of the \((E,E,E)\)-1 isomer. The general disappearance of the initial packing was seen logical knowing the lower stability of the azobenzene 3-isomer when adsorbed on a surface, due to its nonplanar geometry.18 Surprisingly, in such a situation it was also possible to visualize domains of 1 displaying a periodical assembly (Figure 4). From our interpretation, each domain is composed by one isomer: \((E,E,Z)\)-1 and \((E,E,Z)\)-1.

For \((E,Z,Z)\)-1, the estimated unit cell parameters are the following: \(a = 7.6 \text{ nm}, b = 2.7 \text{ nm}, \alpha = 69^\circ\) with an area \(A = 20 \text{ nm}^2\), each containing four molecules (Figure 5c). Conversely, for \((E,E,E)\)-1 we estimate the following unit cell: \(a = 4.0 \text{ nm}, b = 3.3 \text{ nm}, \alpha = 55^\circ\) with an area \(A = 11 \text{ nm}^2\), each containing two molecules (Figure 5e). Both assemblies display notably different geometry and unit cell parameters compared to \((E,E,E)\)-1 and 2. Moreover, their stability appears lower compared to the one of the \((E,E,E)\)-1, being evidenced by the smaller size of the ordered domains, and the sometimes fuzzy contrast visible in the STM images. Interestingly it was not possible to visualize the formation of ordered domains formed by \((Z,Z,Z)\)-1; this observation can be ascribed to the nonplanar conformation of the three \((Z)\)-azobenzene units, lowering the energy of adsorption of the molecules on the basal plane of graphite and hence providing unfavorable geometry for stabilization via H-bonding with neighboring molecules.

Upon subsequent visible light irradiation, a radical change in the supramolecular assembly was evidenced, yielding a scenario in which the only ordered domains monitored at the interface were those containing \((E,E,Z)\)-1 (as mentioned above, Figure 4, Figure 5e).

Moreover, it is striking to observe how the ordered domains of both the photoproducts \((E,Z,Z)\)-1 and \((E,E,E)\)-1 show a less dense crystalline packing compared to \((E,E,E)\)-1 and 2 (Figure 5, Table 1) which is in line with the larger stability in the STM imaging of the monolayers of the \((E,E,E)\)-1. One explanation for this observation could come from the nonplanar conformation of the Z-isomer of the azobenzene units, resulting in less favorable molecule–substrate interactions compared to the E form. This lower stabilization is balanced by the formation of stronger intermolecular hydrogen bonds, such as carboxylic acid dimers, as evidenced by the larger spacing between rows of \((E,Z,Z)\)-1 and \((E,E,Z)\)-1, compared to \((E,E,E)\)-1. The formation of intermolecular carboxylic acid dimers between two \((E)\)-azobenzene branches in the crystalline domains of \((E,Z,Z)\)-1 and \((E,E,Z)\)-1 is nicely supported by MM/MD simulations (see details in S1). For both \((E,Z,Z)\)-1 and \((E,E,Z)\)-1, the complicated H-bonding pattern forced us to perform the simulation over multiple different possible assemblies, in order to interpret correctly the experimental data (Table 1, Figure S22). In this context, it is important to mention that the majority of the starting geometries used to model \((E,Z,Z)\)-1 and \((E,E,Z)\)-1 ended up in amorphous structures after the MM/MD run. Only a few of them presenting motion constrained by additional H-bonds showed a clear assembly pattern. In all cases, the H-bonding between two carboxylic groups takes place (yellow rectangles in Figure 5d,f, Figure S22), thus confirming its crucial role for the stabilization of the supramolecular packing. In some models, surprisingly, it was necessary to consider also the occurrence of hydrogen bonds between carboxylic moieties positioned on \((Z)\)-azobenzene arms in order to obtain a stable structure (orange rectangles in Figure 5d, Figure S22b,c), thus confirming the importance of hydrogen bonds for the stability of the supramolecular packing.

\[\text{Table 1. Experimental and Modelled Unit Cell Parameters for 1 and 2, and Estimated Thermodynamic Quantities}\]

<table>
<thead>
<tr>
<th>((E,E,E))-1</th>
<th>((E,Z,Z))-1</th>
<th>((E,E,Z))-1</th>
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<tr>
<td>(a [\text{nm}])</td>
<td>(b [\text{nm}])</td>
<td>(\alpha [\text{deg}])</td>
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<tr>
<td>(4.1 \pm 0.2)</td>
<td>(3.0 \pm 0.3)</td>
<td>(41 \pm 5)</td>
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<tr>
<td>theoretical</td>
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<tr>
<td>(4.3)</td>
<td>(2.8)</td>
<td>(41)</td>
</tr>
<tr>
<td>(4.2 \pm 0.2)</td>
<td>(2.9 \pm 0.1)</td>
<td>(46 \pm 1)</td>
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<tr>
<td>(7.6)</td>
<td>(2.7)</td>
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<td>74</td>
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<tr>
<td>III 7.8</td>
<td>3.3</td>
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<td>II 4</td>
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<tr>
<td>III 5.5</td>
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</table>

\(^{a}\)Number of molecules per unit cell. \(^{b}\)Number of H-bonds with neighboring molecules. \(^{c}\)Adsortion energy, average interaction energy of an individual molecule adsorbed on graphite. \(^{d}\)Binding energy, average interaction energy between neighboring molecules.
and SI). From the data, it is clear that the structural packing of both \((E,Z,Z)\)-1 and \((E,E,Z)\)-1 gives rise to a lower \(E_{\text{ads}}\) compared to \((E,E,E)\)-1, due to the lower \(\pi-\pi\) interaction caused by the azobenzene units in the \((Z)\)-conformation. This result rationalizes the fact that no self-assembly for \((Z,Z,Z)\)-1 was observed at the experimental level. Conversely, for \((E,E,Z)\)-1 and \((E,Z,Z)\)-1 the larger BE values are related to the formation of a strong H-bonding network stabilizing the supramolecular packing. The opposing behavior of \(E_{\text{ads}}\) and BE when going from \((E,E,E)\)-1 to its isomers validates our interpretation of the experimental molecular patterns visualized by STM. By and large, the computational insights confirm that the self-assembly of such large aromatic carboxylic acids is driven by a complex interplay between intermolecular and molecule–substrate interactions. For the planar all-\((E)\)-1 the geometry of the 2D crystalline assembly is governed by the thermodynamics of strong molecule–substrate interactions leading to a tightly packed unit cell. The photogenerated \((Z)\)-isomers show less favorable molecule–substrate interactions due to their nonplanar conformation; this is, however, compensated by more stable hydrogen bonding interactions between the carboxylic groups, and/or \(\pi-\pi\) interactions between adjacent out-of-plane phenyl rings. The aforementioned intermolecular interactions allow the formation of stable supramolecular assemblies showing larger unit cells compared to all-\((E)\)-1, which are particularly unusual in view of the known tendency of rigid carboxylic acids to form a dense crystal structure.23

In order to cast further light onto whether the isomerization takes place or not on the basal plane of the surface, we have extended our study to the STM visualization of the isomerization occurring in dry films, i.e., at the solid–air interface, of I physisorbed on the HOPG surface upon in situ irradiation (Figure S16, see SI for details). This was done by depositing molecule I from a nonirradiated solution in THF on graphite substrates by means of spin-coating. The high affinity of the large aromatic core of I for the basal plane of HOPG made it possible to obtain a molecular adsorbate characterized by small crystalline regions alternated by uncoated substrate regions, thus yielding a monolayer coverage. From semiquantitative point of view, the unit cell of \((E,E,E)\)-1 monitored by STM at the solid–air interface is similar. Interestingly, upon performing in situ irradiation of the sample with ultraviolet light, we could observe an evident variation of the original pattern visualized prior to light irradiation (Figure S16) as a result of the molecular isomerization. In such a condition, thus in the absence of a medium capable of solvating I, the adsorbates cannot desorb from the graphite substrate. The striking variation of the inter-row spacing compared to the non-irradiated situation is the most evident consequence of the external stimulus given by UV light. It is however evident how the morphology of the patterns seen upon irradiation at the solid–liquid interface is different from what obtained in the latter experiments: in such a scenario, the molecules are not capable of desorbing from the surface upon isomerization, thus to rearrange forming 2D crystalline domains, each formed by only one \(Z\)-isomer, as previously mentioned, and visible in Figure 5. In the photoswitching experiments performed on I at the graphite–air interface we could on the contrary observe the neighboring molecules within the same row being most likely present in the same configuration; nevertheless, the molecular configuration of the neighboring rows seems to vary randomly in the ordered domains, thus failing to form regular crystalline domains containing one, single isomer (see Figure S16). In light of this experimental evidence, we believe that we can safely state that in the STM experiments performed at the solid–liquid interface, the change in the geometry of the supramolecular 2D assemblies is due to the competitive adsorption of \((E,Z,Z)\)-1 and \((E,E,Z)\)-1 isomers, following the isomerization of \((E,E,E)\)-1 in solution. Even though we cannot neglect that the molecules can isomerize when adsorbed on the graphite surface, we envision that in such a case the result would be largely different.

## CONCLUSIONS

In summary, a novel rigid multiphotochromic system I based on three azobenzene chromophores attached to the 1, 3, and 5 positions of a central benzene ring has been designed and synthesized in order to explore the role of electronic decoupling in the isomerization of the individual azobenzene moieties. Photostimulus of all three azobenzene units embedded in the \(C_3\)-symmetrical rigid molecular scaffold was qualitatively confirmed by UV–vis absorption spectroscopy and liquid chromatography in solution. Each photochromic unit was found to retain its photoresponsive nature, thus yielding a reversible mixture of four different isomers upon photoirradiation of a solution of all-\((E)\)-1 with ultraviolet light. In situ STM investigation on the self-assembly of I at the graphite–solution interface revealed the formation of patterns of all-\((E)\)-1. Upon in situ irradiation with ultraviolet and visible light we evidenced the variation of the supramolecular packing, resulting from the formation of crystalline assemblies of two different partially \((Z)\)-isomers. For the first time, we were able to identify by STM the existence of multiple isomeric states of a multiphotochromic compound in single-component self-assembled networks with a high level of detail. We believe that the present results could be of great value for further research on multiphotochromic systems, and could clarify the role of noncovalent interactions in the supramolecular self-assembly of similar systems. In view of the intrinsic molecular geometry and rigidity, we envision that our multiazobenzene compound could be employed in the future as building blocks of photoresponsive materials for various applications such as light-triggered host–guest systems, or optically responsive metal–organic frameworks.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/jacs.8b06324.

Detailed experimental procedures; synthesis and characterization of the products, computational methodologies (PDF)

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muscles with daisy chains.


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